



TRAINING PROVIDERS FORUM 2015

25 - 26 MAY | PERTH CONVENTION AND EXHIBITION CENTRE

Building the workforce to meet the economic and community needs of Western Australia



Common non-compliances

Morena Stanley

Training Accreditation Council

This session will also be webcast



Training Accreditation Council
WESTERN AUSTRALIA

Morena Stanley

A/Manager, Regulation (VET Compliance)

Training Accreditation Council Secretariat



Training Accreditation Council
WESTERN AUSTRALIA

Key activities for 2013-2014

The Council:

- endorsed 99 initial and renewal of registration applications
- approved a total of 56 courses for accreditation and re-accreditation
- undertook 323 audits, comprising 36 initial and 74 renewal of registration audits; 36 accreditation reviews; 68 monitoring audits and 109 amendment to scope audits



Training Accreditation Council
WESTERN AUSTRALIA

Key activities for 2013-2014

- conducted 347 risk assessments of RTO applications. Of the 347, 33% (116) did not require an audit and 67% (231) required either a desktop and/or site audit



Training Accreditation Council
WESTERN AUSTRALIA

Common non-compliances 2014

- Across all audits, the majority of non-compliances identified across the AQTF Standards were in relation to:
 - **1.3** - staff, facilities, equipment and training and assessment materials not meeting training package/accredited course requirements
 - **1.4b** - Training and assessment not delivered by trainers and assessors who have the relevant vocational competencies at least to the level being delivered or assessed



Training Accreditation Council
WESTERN AUSTRALIA

Common non-compliances 2014

- **1.5a, b & c** - assessments not meeting training package/accredited course requirements and not being conducted in accordance with the principles of assessment and rules of evidence, and not meeting workplace and/or relevant regulatory requirements



Training Accreditation Council
WESTERN AUSTRALIA

Common non-compliances 2014

- The majority of non-compliances identified across the AQTF Conditions were in relation to:
 - Governance (Condition 1)
 - Compliance with legislation (Condition 3)
 - Financial management (Condition 5) and
 - Accuracy and integrity of marketing (Condition 8)



Training Accreditation Council
WESTERN AUSTRALIA

Key activities for 2014

- Finalised the VET in Schools Strategic Industry Audit. Work continues on the implementation of the recommendations from the report.
- Strengthened relationships with key industry and regulatory bodies in WA, with a focus on industry engagement, streamlining of regulatory processes, and promoting a consistent approach to regulation within the VET environment.



Training Accreditation Council
WESTERN AUSTRALIA

Key activities for 2014

- Introduction of the new *Standards for RTOs 2015*
- To support implementation of the new Standards, the Council published:
 - *Users' Guide*
 - *Mapping between old and new Standards*
 - *Confirmation of transition arrangements*
(Standards take effect from 6 April 2015 - refer TAC Website for specific transition timelines)



Training Accreditation Council
WESTERN AUSTRALIA

Standards for Registered Training Organisations (RTOs) 2015

- Eight Standards with a set of Clauses for each
- To comply with a Standard, the RTO must meet each of the Clauses, Schedules and Glossary.
- For each Standard a context statement is also included. The context statement does not form part of the Standard itself, and has been included to provide background information to help readers understand the Standard.



Training Accreditation Council
WESTERN AUSTRALIA

Key changes to the Standards

- **Standard 1**
 - Amount of training
 - Validation
 - Requirements for trainers and assessors
 - Delivery of the Certificate IV in Training and Assessment
- **Standard 2**
 - Written agreements required for 'third party' arrangements



Training Accreditation Council
WESTERN AUSTRALIA

Key changes to the Standards

- **Standard 3** - AQF certification issued within 30 days
- **Standard 4** - Marketing material
- **Standard 5** - Informing learners
- **Standard 6** - Complaints and appeals
- **Standard 8** - Cooperation with the VET Regulator



Training Accreditation Council
WESTERN AUSTRALIA

Key themes – VET Regulator Standards

Regulatory model

The Council will adopt a regulatory model that:

- reduces the regulatory burden on low risk RTOs
- concentrates regulatory efforts on highest risk -
 - RTO risk profile informed by:
 - Intelligence from industry consultation
 - Training product risk
 - Complaints management
- Shift away from an application based approach



Training Accreditation Council
WESTERN AUSTRALIA

Key themes – VET Regulator Standards

Regulatory model

- Information is used to determine appropriate regulatory responses at the RTO level and also determine appropriate broader regulatory strategies (eg., SIA's, educational support)
- Transparent



Training Accreditation Council
WESTERN AUSTRALIA

Key themes – VET Regulator Standards

Regulatory model

Changes implemented to date:

- Reduction in processing times for amendment to scope applications
- Removal of financial viability requirements as part of re-registration process
- Automated equivalent delivery updates



Training Accreditation Council
WESTERN AUSTRALIA

Key themes – VET Regulator Standards

Regulatory Model

- Introduction of a **Compliance Recognition Program** to improve a providers' ability to respond to industry and clients (letters of offer issued in May 2015)
 - Amendments to scope of registration without the need to apply to TAC and pay a fee each time
 - Criteria to determine RTOs who may participate in the program



Training Accreditation Council
WESTERN AUSTRALIA

Key themes – VET Regulator Standards

- **Working relationship with VET Regulators**

The Council continues its collaborative working relationship with ASQA, both at a state and national level, and the VRQA, ensuring that the quality of training in WA is managed and regulated effectively, with minimal impact for WA RTOs.

A key focus is the communication and consistent application of the new Standards across VET Regulators.



Training Accreditation Council
WESTERN AUSTRALIA

Significant Issues

- TAC continues to work collaboratively with the WA Department of Training and Workforce Development (DTWD) on a range of matters related to quality assurance arrangements in WA, including input into policy development at a State and national level, audits of publicly funded RTOs, Training Package reviews, and sharing of information.



Training Accreditation Council
WESTERN AUSTRALIA

www.tac.wa.gov.au

tac@des.wa.gov.au

9441 1910

NEXT SESSION - Session 2

Monday 25th 1:30pm - 2:30pm

MR1	MR2	MR3	MR6	MR7	MR8
Fun size 'Train the trainer boot camp'.	Preparing your staff for an external audit	Customising vocational competency/currency in a VET in Schools environment	Keeping Sane®	Brain friendly training	Engaging with the community services, health and education industries to meet the new standards
Jason Ash	John Price	Stephanie Trestrail	Deb Reveley	Laurie Kelly	Ian Andrews
MRWED Training and Assessment	VELG Training	Training Accreditation Council	Brain Ambulance	Mindworks	Community Services, Health and Education Industry Training Council (CSHEITC)